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PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

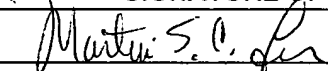
0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/925,121	
<b>TRANSMITTAL FORM</b> (to be used for all correspondence during pendency of filed application)		Filing Date	August 8, 2001	
		First Named Inventor	Millard E. Sweatt, III	
		Group Art Unit Number	2614	
		Examiner Name	Not Yet Known	
Total Number of Pages in This Submission		6**	Attorney Docket Number	22407-05497

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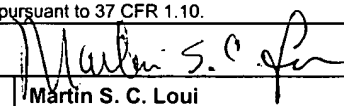
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**REMARKS:** \*\* "Total Number of Pages in This Submission" does not include cited references.**SIGNATURE OF ATTORNEY OR AGENT**

Signature:		
Attorney/Reg. No.:	Martin S. C. Loui, Reg. No. 43,411	Dated: JANUARY 17, 2002

**CERTIFICATE OF MAILING**

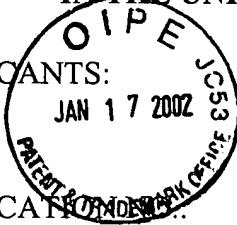
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#7 S.W.H. 7/24/02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:



Millard E. Sweatt, III, Don Woodward, Chris E. Matichuk, Alain Regnier, Mark Nudelman, Philippe Pignon, F. Andrew Voltmer, Dave Westerhoff, Matthew Self, and Sunil Mohan

APPLICATION NUMBER:

09/925,121

FILING DATE:

August 8, 2001

TITLE:

Method and System for Remote Television Replay Control

EXAMINER:

Not Yet Known

GROUP ART UNIT:

2614

ATTY. DKT. NO.:

22407-05497

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WASHINGTON, DC. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred;  
OR
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either:
- (1) a final action under 37 CFR §1.113; OR
  - (2) a notice of allowance under 37 CFR §1.311; AND
- ☐ attached hereto is the fee of \$180, as set forth under 37 CFR §1.17(p), for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;  
OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
- OR
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either:

- [1] a final action under 37 CFR § 1.113; OR
- [2] a notice of allowance under 37 CFR § 1.311; AND
- in accordance with the requirements of 37 CFR § 1.97(d):
- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- ☐ Applicant hereby respectfully petitions for the consideration of the accompanying Information Disclosure Statement under 37 CFR § 1.97(d)(2); AND
- ☐ Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

☒ Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

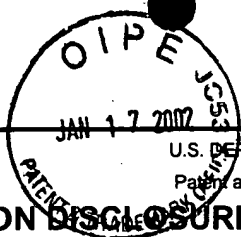
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
Millard E. Sweatt, III, et al.

Dated: JANUARY 17, 2002

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# 7 S.W.H. 7/24/02



Sheet 1 of 2

FORM PTO-1449  
(REV. 6-89)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Attorney's Docket No.  
22407-5497

Serial No.  
09/925,121

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant  
Millard E. Sweatt, III, et al.

Filing Date  
August 8, 2001

Group Art Unit  
2614

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	6,327,418	12-4-01	Barton	386	46	
	B	6,310,886	10-30-01	Barton	370	462	

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## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	C	01/89203 A2	11-22-01	WO	H04N	5/00		
	D	01/65862 A2	9-07-01	WO	H04N	71/73		
	E	01/65762 A2	9-07-01	WO	H04L	9/00		
	F	01/47279 A2	6-28-01	WO	H04N	7/26		
	G	01/46843 A2	6-28-01	WO	G06F	17/00		
	H	01/47249 A2	6-28-01	WO	H04N	5/00		
	I	01/47238 A2	6-28-01	WO	H04N			
	J	01/22729 A1	3-29-01	WO	H04N	5/92		
	K	01/06370 A1	1-25-01	WO	G06F	11/267		
	L	00/67475 A1	11-09-00	WO	H04N	5/445		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

